

PATENT
NEW ATTORNEY DOCKET No.: 38610.0004
OLD ATTORNEY DOCKET No.: 031672.0008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:

William A. Knaus, et al.

Application Number: 09/822,261

Filed: April 2, 2001

For: BROADBAND COMPUTER-
BASED NETWORKED
SYSTEMS FOR CONTROL AND
MANAGEMENT OF MEDICAL
RECORDS

Group Art Unit: 2131

Examiner: Not Yet Assigned

RECEIVED

AUG 01 2002

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants are submitting herewith copies of the references listed on the attached Form PTO-1449 (modified) for consideration and to be made of record herein by the U.S. Patent and Trademark Office in the above-captioned application.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 08-1641.

Respectfully submitted,

HELLER EHRMAN WHITE & MCAULIFFE LLP

Dated: July 30, 2002

By: 

James Remenick
Registration No. 36,902

Customer Number 30082
Heller, Ehrman, White & McAuliffe LLP
Intellectual Property Department
101 Orchard Ridge Drive
Suite 300
Gaithersburg, Maryland 20878-1917
(301) 721-6100 (telephone)
(301) 721-6299 (facsimile)

JR/db

FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 38610.0004		APPLICATION NO. 09/822,261	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT William A. Knaus, et al.			
				FILING DATE April 2, 2001		GROUP 2131	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	T	LANDRO, Laura, "Health-Policy Groups Put Data Network on Government Agenda", <i>The Wall Street Journal</i> , 2/9/01					
	U	www.wellmed.com; posted 11/3/00					
	V	GERTZEN, Jason, "JS Online: Web is Prescription for Change in Medicine", 12/3/00, http://www.jsonline.com/bym/news/dec00/web04120300a.asp					
	W	CHEA, Terence, "Integic Wins Contract For Health-Care Web Site", <i>Washingtonpost.com</i> , 12/4/00					
	X	CHIN, Tyler, "Seal of Approval for Online Health Sites May Be Coming", <i>AMNews.com</i> , 11/27/00					
	Y	MARKOFF, John, "Microsoft Confronts Privacy Fears", <i>The New York Times on the Web</i> , 3/20/01					
	Z	http://www.hiethics.org/Press/Releases/001212.asp; 12/13/00					
	AA	http://www.healthlawyers.org/home.asp?TopUrl=top_generic.htm&MenuUrl=menu.htm&BodyUrl=ehealth_promo.htm; 12/13/00					
	BB	LEMOS, Robert, "Health Sites Seek Consumer Trust with Audit", <i>CNET News.com</i> , 12/12/00					
	CC	KLEINKE, J.D., "Vaporware.com: The Failed Promise of The Health Care Internet", <i>Health Affairs</i> , 11/12-2000					
	DD	MARKS, Richard D., "Analysis & Perspective: Guidelines for Initiating HIPAA Systems Implementation Projects", <i>Electronic Commerce & Law</i> , BNA, Inc., 5/13/00, Vol. 5, No. 18, pgs. 468-474					
	EE	eHealth Law Update, 12/13/00-12/14/00, "Hi-Ethics, TURSTe to Develop 'eHealth Seal'", California Healthline, 12/13/00, http://www.hiethics.org					
	FF	"Medscape Launches Personal Health Records", 12/5/00					
	GG	LAUDON, Kenneth C., "Markets and Privacy", <i>Communications of the ACM</i> , September 1996, Vol. 39, No. 9					
	HH	Waegemann, C. Peter, "Personal Health Records", <i>TEPR 2001 Proceedings</i> , 5/16/01					
	II	SQUIRES, Sally, "The Doctor is [Plugged] In", <i>The Washington Post</i> , Health: Tech Week, pgs. 10-12, 5/15/01					
	JJ						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.							

RECEIVED

AUG 01 2002

Technology Center 2100

FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 38610.0004		APPLICATION NO. 09/822,261	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT William A. Knaus, et al.			
				FILING DATE April 2, 2001		GROUP 2131	

O I P E S C I A
 JUL 3 0 2002
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS																
*EXAMINER INITIAL	A	B	C	D	DOCUMENT NUMBER				DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	A				5	3	0	1	1	0	5	4/5/94	Cummings, Jr.	364	401	
	B				5	9	4	8	0	6	1	9/7/99	Merriman, et al.	709	219	
	C				6	0	2	6	3	7	4	2/15/00	Chess	705	26	
	D				6	0	2	9	1	4	1	2/22/00	Bezos, et al.	705	27	

FOREIGN PATENT DOCUMENTS																			
*EXAMINER INITIAL	A	B	C	D	E	F	G	H	DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
																		YES	NO

RECEIVED
 AUG 01 2002
 Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
I	PHARMATRAK: Tracks Consumer Behavior on the Web California Healthline, 8/15/00
J	MATRIA HEALTHCARE: Web Site Helps Doctors Monitor Patients Online California Healthline, 8/16/00
K	Ehealthcare Firms Fail to Deliver What Doctors Want: Standardization Healthleaders.com, 8/16/00
L	California Health Care Leaders Work Together in Anticipation of Federal HIPAA Regulations [HIT listserv], 8/16/00
M	Incompatible Software at Hospitals a Big Contributor to Increased Patient Care Costs, Healthleaders.com, 12/4/00
N	Virginia Company Wins \$20M Contract to Develop Government Healthcare Web Site, Healthleaders.com, 12/4/00
O	Prominent Health Experts Unveil Universal Guidelines to Protect Patient Privacy American Medical Association, 1/30/01
P	URAC Works on 'Reliable' Health Web Site Accreditation Standards California Healthline, 1/31/02
Q	Advisory Groups Put Health Data Network at Top of Agenda, Healthleaders.com, 2/9/01
R	Privacy vs. Productivity, Wall Street Journal, 3/8/01
S	WESSEL, David, "Privacy vs. Productivity: A Tough Choice", <i>The Wall Street Journal</i> , 3/8/01, WL-WSJ 2856504

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.